EASY WAYS TO REGISTER

ONLINE
cle.kentlaw.edu

PHONE
312.906.5090

MAIL
Office of CLE
Chicago-Kent College of Law
565 W. Adams Street
Chicago, IL 60661-3691
8th Annual
CONFERENCE ON FUTURES AND DERIVATIVES

We are experiencing a time of rapid technological change in the futures and derivatives industry. Come learn what you need to know and how to stay on top of these changes. Also get regulatory updates and learn recent trends in enforcement all while networking with peers, gaining access to leading professionals, and earning CLE, CPE, CCB, CFE, and CSCP credit.

CONFERENCE AT A GLANCE

8:00am–8:40am
Registration

8:40am–8:45am
Welcome

8:45am–10:00am
Regulatory Updates

10:00am–10:15am
Break

10:15am–11:45am
Recent Trends in Enforcement

11:45am–12:45pm
Lunch (on your own)

12:45pm–1:45pm
New Duties Under 1.1—Technology Competence

1:45pm–2:00pm
Break

2:00pm–2:45pm
Ransomware, Business Email Compromise, Dark Forums, and You

2:45pm–3:30pm
Regulation AT: Where We Are Now

3:30pm–3:45pm
Break

3:45pm–4:30pm
Impact of AI on Law and Compliance in the Futures Markets

4:30pm–5:30pm
Networking Reception

This conference is presented with the generous support of

THOMPSON COBURN LLP
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Director, Compliance
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**David Widerhorn**
CEO
Neurensic
8:00am-8:40am
Registration

8:40am-8:45am
Welcome

8:45am-10:00am
Regulatory Updates
• Implementation of new rules
• Upcoming changes to regulations
• Recent disciplinary actions
• Common exam deficiencies

Patricia L. Cushing, Director, Compliance, National Futures Association
Jennifer Sunu, Director, Compliance, National Futures Association

10:00am-10:15am
Break

10:15am-11:45am
Recent Trends in Enforcement
Hear a focused panel discuss the most recent developments in disruptive trading, new rule changes and interpretations and recent cases of interest.

Jason V. Fusco, Assistant General Counsel, Market Regulation, ICE Futures U.S. Inc.
Rosemary C. Hollinger, Deputy Director, Division of Enforcement, U.S. Commodity Futures Trading Commission

11:45am-12:45pm
Lunch (on your own)

12:45pm-1:45pm
New Duties Under 1.1—Technology Competence
(eligible for 1.0 hr IL ethics/professionalism credit)
Effective January 1, 2016 comments to IL Rules of Professional Conduct 1.1 were updated to state, “a lawyer should keep abreast of changes in the law and its practice, including the benefits and risks associated with relevant technology.” What does technology competency mean? We will explore what lawyers should know about including metadata, the cloud, email, social media, encryption, discovery, and more.

Catherine Sanders Reach, Director, Law Practice Management & Technology, The Chicago Bar Association
Ari Telisman, Litigation Counsel, Illinois Attorney Registration and Disciplinary Commission

Moderator/Panelist: Marc Nagel, Consultant, Marc Nagel Consulting
1:45pm-2:00pm  
Break

2:00pm-2:45pm  
Ransomware, Business Email Compromise, Dark Forums, and You  
Tax fraud, identify theft, ransomware, cyber espionage, hacking and other words are becoming the norm for employees, business, organization, and governments. Cybersecurity is the number one risk for businesses today. With many of Mr. Hardin’s cases being mentioned in *The Wall Street Journal*, *Financial Times*, *Forbes*, and *Krebs on Security*, amongst other publications, he will talk through how all types of organizations are under attack, ways to limit the disruption, and provide risk management tips from a data management perspective.

Bill Hardin, Vice President, Charles River Associates

2:45pm-3:30pm  
Regulation AT: Where We Are Now  
The session will discuss the current status of proposed Regulation AT and issues of which market professionals should be aware.

John D. Ruark, Member, Funkhouser Vegosen Liebman & Dunn Ltd.

3:30pm-3:45pm  
Break

3:45pm-4:30pm  
The Impact of Artificial Intelligence on Law and Compliance in the Futures Markets  
- There have been major advances in artificial intelligence (“AI”) over the last two years
- Traders are already utilizing AI in their trading strategies
- Regulatory and compliance professionals need to catch-up
- Can we avoid a future where machines powered by AI replace regulatory and compliance professionals?
- AI technology should be embraced and positive objectives can be set for machines that will enhance the integrity and fairness of the futures markets

Jay Biondo, Director of Surveillance Products Design, Neurensic
David Widerhorn, CEO, Neurensic

4:30pm-5:30pm  
Networking Reception
INTERESTED IN SPONSORSHIP OPPORTUNITIES?

Get in front of your audience first-hand when you confirm your support as a Session Sponsor. Be everyone’s favorite phrase when you become a Wi-Fi Sponsor. These are just a couple of the opportunities available at this conference and others throughout the year. Contact Kelly Calvanico, CLE Director, at 312.906.5090 or cle@kentlaw.iit.edu for further information.

UPCOMING CLE CONFERENCES

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<tr>
<th>Date</th>
<th>Event</th>
<th>Eligible MCLE Credit</th>
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<tbody>
<tr>
<td>September 15, 2016</td>
<td>34th Annual Federal Sector Labor Relations &amp; Labor Law Conference</td>
<td>7.0 hrs of IL MCLE</td>
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<td>October 4, 2016</td>
<td>The Henry Morris Lecture in International &amp; Comparative Law (free!)</td>
<td>1.0 hr of IL MCLE</td>
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<td>October 24, 2016</td>
<td>Empire of the Fund: The Way We Save Now</td>
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<td>William A. Birdthistle Book Release Mini-Conference (free!)</td>
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<td>October 28, 2016</td>
<td>Bi-Annual Midwest Arbitrators’ Symposium, presented by the FMCS &amp;</td>
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<td>November 4, 2016</td>
<td>Fin(Legal)Tech Conference, by invitation only</td>
<td>IL MCLE credit to be</td>
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<td>November 18, 2016</td>
<td>Institute for Law and the Workplace Members’ Conference, by</td>
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<td>December 2, 2016</td>
<td>32nd Annual Illinois Public Sector Labor Relations Law Conference</td>
<td>6.0 hrs of IL MCLE</td>
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The Institute for Compliance in Financial Markets promotes, trains, and prepares students for careers in compliance by providing a rich academic curriculum, sponsoring a wide variety of internships and externships, holding various events for the Chicago-based compliance and legal community, and hosting a website. It was the first institute of its kind located in a law school and it now offers an online certificate in compliance for non-JD students.

This past July 2016 Chicago-Kent graduated the first class in its seven-month online certificate program in Financial Markets Compliance. This is among the first programs in the country to provide prospective and entry-level compliance professionals with a deep understanding of the field as it applies across different types of financial institutions.

For more information on the FCM Certificate visit: compliance.kentlaw.iit.edu.

WHO SHOULD ATTEND THIS CONFERENCE?

• Attorneys
• Compliance Professionals
• Accountants in the Commodities Industry
• Fraud Examiners
• Regulatory Professionals

WHY ATTEND?

As an experienced practitioner you won’t want to miss this hands-on, immediately relevant, intermediate to masters’ level seminar.

You will:
• Learn from the most qualified leaders and practitioners
• Hear directly from key regulators & thought leaders
• Interact directly with panels during Q&A
• Connect and learn from your peers

“Everything was executed with style! Thanks!”
— Previous Attendee

LL.M. IN FINANCIAL SERVICES LAW

Chicago-Kent’s Graduate Program in Financial Services Law offers full-time and part-time students a unique opportunity to broaden their understanding of the principles underlying increasingly complex systems and services, deepen their knowledge of particular topics of interest, and enhance their skills as professionals.

Reregulation of financial services, tax reforms, globalization, technology, and revolutionary market forces have created an environment in which attorneys must broaden their knowledge and sharpen their skills to handle the range of legal and related issues arising in the new and evolving financial services industry.

The LL.M. program in Financial Services Law educates legal professionals to be effective in this rapidly changing environment. It emphasizes the global nature of financial markets.

The LL.M. in Financial Services Law is a full- or part-time degree program for individuals holding J.D., LL.B., or other non-US first law degrees.

Please call (312) 906-5134 or email intllm@kentlaw.iit.edu for more information.
EVENT DETAILS

CONTINUING EDUCATION CREDIT

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This Conference May Also Be Eligible For:

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* Contact the Office of Continuing Legal & Professional Education for more information, cle@kentlaw.iit.edu.

CONFERENCE LOCATION

CHICAGO-KENT COLLEGE OF LAW

565 W. Adams Street
Chicago, IL 60661
cle.kentlaw.edu
(312)906-5090

CANCELLATION POLICY

A full tuition refund will be granted if received in writing by September 15, 2016. Tuition, less a 25% cancellation fee will be granted if received between September 16, 2016 and October 13, 2016. No refunds will be granted if received after October 13, 2016, but a substitution of attendees for this program will be permitted. Registrants not entitled to a refund will receive access to the written materials.

Email cancellation notices to cle@kentlaw.iit.edu.
REGISTRATION FORM

Name(s) (please print) ___________________________________________ Professional Title(s) ___________________________________________

Firm/Company/Organization ______________________________________

Address _______________________________________________________

City, State, Postal Code __________________________________________

Telephone __________________ Fax __________________ Email ____________

Special Dietary Requirements or Accessibility Needs? _______________

CLE Credit? □ Yes □ No

Attorney Registration # ________________________________

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(Make check payable to: Chicago-Kent College of Law)

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Signature ______________________________________________________

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