

## ACADEMIC EXPERIENCE

### Chicago-Kent College of Law, Illinois Institute of Technology, Chicago, Illinois

Dean and Professor, 2019 – present

- Lead a law school of approximately 900 students, 162 full-time and part-time faculty, and 62 staff, with a budget of over \$22.5 million.
- Oversee all departments, including student affairs; academic affairs; career services; admissions; advancement; grant support; registrar, academic success; finance, administration and facilities; strategic initiatives; scholarship and faculty development; and library services.
- Oversee the facilities and operation of a campus containing two colleges with an annual facilities budget of more than \$2 million.
- Manage the school's budget, cutting costs substantially due to the university's financial challenges while ensuring that the school continues to further all of its strategic priorities and expand programs and opportunities for both faculty and students.
- Successfully secured multi-million-dollar gifts to support student success, faculty retention and hiring, and key academic programs.
- Promoted grantsmanship through creating a position dedicated to grant support and led the College in securing grants in support of strategic objectives; personally secured a \$300,000 grant to support an academic success initiative.
- Strengthened student success programming by hiring a full-time academic support director and a full-time bar exam preparation director through fundraising and strategic budgeting.
- Increased bar exam passage rates for first-time examinees from 75% in 2020 to 85% in 2023.
- Increased employment rates for bar-exam-required, moving from 60.4% in 2020 to 78% in 2023.
- Leading impactful initiatives to promote diversity, inclusion, and antiracism, including by launching a school-wide anti-racism audit, an annual climate survey, and a bias reporting system.
- Developed partnerships with non-profit and for-profit organizations and international educational institutions, producing new multidisciplinary educational programs and new sources of revenue.
- Launched, and secured grant support for, a program in civic education for students in Chicago public schools and created an after-school civic engagement program for high school students from underrepresented racial and ethnic backgrounds.
- Secured continued financial support for an annual three-week summer program for pre-law undergraduate students from underrepresented backgrounds.
- Created new academic programs, including a certificate program in data privacy and an interdisciplinary program in cybersecurity.
- Develop Master of Laws (LLM) program in Artificial Intelligence, Innovation, and Technology.
- Create LLM/MBA joint degree program.
- Recruited and hired new tenure-track and tenured faculty, at least 45 percent of whom are members of underrepresented racial or ethnic groups.
- Teach in Tbilisi, Georgia as part of the school's partner program in Eastern Europe.

*University Service*

- Chair, Provost Search Committee (2022 – 2023)
- Academic Council (2019 – present)
- Deans' Council (2019 – present)
- Enrollment Management and Growth Strategy Committee (2021 – 2022)
- President Search Faculty Advisory Committee (2020 – 2021)
- President's Council (2019 – 2020)
- Kaplan Institute Executive Director Search Committee (2020)

*State Positions*

- Illinois Board of Admissions to the Bar, representing the nine Illinois law deans (appointed by the Chief Justice of the Illinois Supreme Court) (2021 – present)
- Co-Chair, Honorary Host Committee for Benefit in Support of Higher Education in Haiti

*National Positions*

- Honorary Co-Chair, 2024 American Bar Association Annual Meeting
- Independent trustee of 25 public investment companies, overseeing almost \$6 billion in assets as a fiduciary for hundreds of shareholders, New York, NY (2012 – present)

**University of Washington, Seattle, Washington**

*University of Washington Bothell, Bothell, Washington*

Vice Chancellor for Academic Affairs (interim), 2018 – 2019

- Served as the chief academic officer of campus of 6,000 students, 370 faculty, and 340 staff.
- Oversaw all academic and related units, including all schools on campus, First-Year & Pre-Major Programs, Office of Digital Learning Initiatives, Teaching & Learning Center, Office of Research, and the Library.
- Collaborated with constituents across campus, including in Institutional Research, Student Affairs, Enrollment Management, Institutional Planning & Budget, and Human Resources.
- Chaired Council of Academic Deans, Academic Affairs Leadership Council, and Student Retention Committee and served in many other executive leadership groups.
- Formed and chaired committee on student retention; developed identity-conscious initiatives to increase student enrollment and retention.
- Developed and secured funding for a program to provide seed and grant funding to support faculty research.
- Led and promoted efforts to enhance the campus culture for faculty, staff, and students.
- Worked with other campus leaders to transition the campus's budget model to one based on responsibility-centered management (RCM).
- Led and coordinated the campus reaccreditation process and worked with other leaders to develop a comprehensive strategic plan for the campus.
- Oversaw the application process for Carnegie Foundation Classification for Community Engagement.

*University of Washington School of Law, Seattle, Washington*

*Positions*

Dean (interim), 2017 – 2018

- Oversaw all departments, including Student Affairs, Academic Administration; Career Services; Admissions; Advancement; Grant Support; Academic Success; Finance, Administration and Operations; Strategic Initiatives; Scholarship and Faculty Development; Facilities, and the Law Library.
- Collaboratively set and achieved goals in all areas, including diversity, equity, and inclusion; curricular and teaching innovation; advancement and external outreach; scholarships; financial sustainability; faculty research and scholarship; academic support; career services; registrar; community building and transparency; graduate program growth; and technology and facilities use.
- Managed the school's \$32 million (per biennium) budget and reduced expenditures substantially while supporting important programs and launching new initiatives to further student and faculty success.
- Oversaw the School's successful American Bar Association reaccreditation process and School-wide preparation for the onsite visit by ABA representatives.
- Cultivated productive and collaborative relationships with University leadership and other constituencies throughout the University.

Professor, 2016 – 2019

Associate Dean for Research and Faculty Development, 2014 – 2016

Associate Professor (tenured), 2014 – 2016

Assistant Professor, 2010 – 2014

*Service (other than as dean)*

- Faculty Advisor, Law, Business & Entrepreneurship Program (2011 – 2018)
- Editor, University of Washington School of Law SSRN Research Paper Series (2014 – 2016)
- Colloquium Committee (2011 – 2012, 2014 – 2016)
- Clinical Faculty Status Committee (ad hoc) (2015 – 2016)
- Teaching and Learning Committee (2014 – 2016)
- Ph.D. Program Committee (2012 – 2015)
- Faculty Appointments Committee (ad hoc, for mid-year appointment) (2014)
- Curriculum Committee (2010 – 2011)

*Honors and awards*

- D. Wayne and Anne Gittinger Endowed Professorship (2016 – 2019)
- Selected for 2016 – 2018 University of Washington Leadership Excellence Project (one of 29 faculty throughout the University)
- Pacific Coast Banking School Faculty Service Award (2013)
- Faculty Scholarship Award for Excellence in Law Review Articles (2013)

*University Service*

- Faculty Council on Tri-Campus Affairs (representing University President Cauce) (2018 – 2019)
- University Accreditation Steering Committee (2018 – 2019)
- Master Curriculum Oversight Committee (2018 – 2019)

- Board of Deans and Chancellors (2017 – 2018)
- UW 2050 Strategic Plan Steering Committee (2017 – 2018)

*National Service*

- Chair, Association of American Law Schools Committee on Sections (2017 – 2019)
- Chair, Association of American Law Schools Section on Securities Regulation
- Executive Committee, Association of American Law Schools Section on Business Associations (Jan. 2016 – 2019)
- Executive Committee, Association of American Law Schools Section on Scholarship (2013 – 2018)
- Chair, Corporate and Securities Law in Society Collaborative Research Network, Law and Society Association (national group of securities law scholars with more than 125 members) (2014 – 2016)

**Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law, Berkeley, California**

*Research Fellow and Lecturer, 2009 – 2010.*

**University of California, Hastings College of the Law, San Francisco, California**

*Practitioner in Residence and Adjunct Professor, 2009.*

**Harvard University, Cambridge, Massachusetts**

*Teaching Fellow, 1995 – 1997.*

*Senior Thesis Advisor, 1998 – 2000.*

**EDUCATION**

**Harvard University, Cambridge, Massachusetts**

Ph.D., Political Science, 2000.

- Dissertation: “Groups, Rights, and Liberalism: Historical Insights in a Contemporary Context.”
- Jacob K. Javits Fellowship (U.S. Department of Education).
- Mark DeWolfe Howe Fellowship in Legal History and Civil Rights (Harvard Law School).

**Harvard Law School, Cambridge, Massachusetts**

J.D., *cum laude*, 1997.

- *Harvard Law Review*, Articles Editor.
- Reginald F. Lewis International Human Rights Fellowship.

**Harvard University, Cambridge, Massachusetts**

A.M., Political Science, 1996.

**University of Cologne, Cologne, Germany**

Fulbright Scholar, 1991 – 1992.

**Kansas State University, Manhattan, Kansas**

B.A., Political Science and Economics, *summa cum laude*, 1991.

- Outstanding Student in Political Science Scholarship Award; Delehanty Award for Excellence in Economics; Phi Beta Kappa; Phi Kappa Phi National Fellow; KSU Foundation Scholar.
- Junior year at Justus Liebig University, Giessen, Germany.

**ARTICLES**

Anita K. Krug, *Beneficial Conflicts of Interest*, 45 CARDOZO L. REV. 175 (2023).

Anita K. Krug, *Constraining Corporate Law Principles in Affiliate World*, 72 EMORY L.J. 855 (2023).

Anita K. Krug, *Temporary Securities Regulation*, 79 WASH. & LEE L. REV. 185 (2022), reprinted in 55 SECURITIES L. REV. 187 (Donald C. Langevoort, ed.) (2023) and 65 CORPORATE PRACTICE COMMENTATOR (Robert B. Thompson, ed.) (2023).

Anita K. Krug, *Investors' Paradox*, 43 J. CORP. L. 245 (2018).

Anita K. Krug, *The Other Securities Regulator: A Case Study in Regulatory Damage*, 92 TUL. L. REV. 339 (2017).

Anita K. Krug, *Uncertain Futures in Evolving Financial Markets*, 93 WASH. U. L. REV. 1209 (2016).

Anita K. Krug, *Investing and Pretending*, 100 IOWA L. REV. 1559 (2015).

Selected for inclusion in the 2015 Harvard Stanford Yale Junior Faculty Forum at Harvard Law School.

Anita K. Krug, *Downstream Securities Regulation*, 94 B.U. L. REV. 1589 (2014), reprinted in 47 SECURITIES L. REV. § 2.3 (Donald C. Langevoort, ed.) (2015) and 57 CORPORATE PRACTICE COMMENTATOR 183 (Robert B. Thompson, ed.) (2015).

Anita K. Krug, *Escaping Entity-Centrism in Financial Services Regulation*, 113 COLUM. L. REV. 2039 (2013).

Anita K. Krug, *Investment Company as Instrument: The Limitations of the Corporate Governance Regulatory Paradigm*, 86 S. CAL. L. REV. 263 (2013).

Anita K. Krug, *The Modern Corporation Magnified: Managerial Accountability in Financial Services Holding Companies*, 36 SEATTLE U. L. REV. 821 (2013) (invited symposium).

Anita K. Krug, *Rethinking U.S. Investment Adviser Regulation*, 87 ST. JOHN'S L. REV. 451 (2014) (invited symposium).

Anita K. Krug, *Institutionalization, Investment Adviser Regulation, and the Hedge Fund Problem*, 63 HASTINGS L.J. 1 (2011).

Anita K. Krug, *Moving Beyond the Clamor for "Hedge Fund Regulation": A Reconsideration of*

“Client” under the Investment Advisers Act of 1940, 55 VILL. L. REV. 661 (2010) (symposium).

William F. Lee & Anita K. Krug, *Still Adjusting to Markman: A Prescription for the Timing of Claim Construction Hearings*, 13 HARV. J.L. & TECH. 55 (1999), reprinted in 2001 INTELL. PROP. L. REV. (2002).

William F. Lee, James C. Burling & Anita K. Krug, *The Antitrust Duty to Deal and Intellectual Property Rights*, 24 J. CORP. L. 527 (1999).

Note, *The Myth of Context in Politics and Law*, 110 HARV. L. REV. 1292 (1997).

Recent Legislation, *Florida Private Property Rights Protection Act*, 109 HARV. L. REV. 542 (1995).

#### BOOK CHAPTERS

Anita K. Krug, *The Overlooked Effects of Passive Management*, in CAMBRIDGE HANDBOOK ON INVESTOR PROTECTION (Arthur B. Laby, ed., Cambridge Univ. Press) (2022).

Anita K. Krug, *Toward Better Mutual Fund Governance*, in RESEARCH HANDBOOK ON THE REGULATION OF MUTUAL FUNDS (William A. Birdthistle & John Morley, eds., Edward Elgar) (2018).

Anita K. Krug, *Multilateral Convergence of Investment Company Regulation*, in ECONOMIC LAW AFTER THE CRISIS: A TALE OF FRAGMENTED DISCIPLINES (C.L. Lim & Bryan Mercurio eds. Cambridge Univ. Press) (2015).

Anita K. Krug, *Discerning Public Law Concepts in Corporate Law Discourse*, in PRIVATE LAW: KEY ENCOUNTERS WITH PUBLIC LAW (Kit Barker & Darryn Jensen eds. Cambridge Univ. Press) (2013).

#### PROFESSIONAL PUBLICATIONS

Anita K. Krug, *The Private Fund Adviser Registration Act*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Nov. 2009).

Anita K. Krug, *Financial Regulatory Reform and Private Funds*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, July 13, 2009).

Anita K. Krug, *Regulatory Response to Madoff*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Mar. 2009).

Anita K. Krug, *Hedge Fund Transparency Act of 2009*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Feb. 2009).

Peter M. Rosenblum & Anita K. Krug, *A Brief Outline of Certain Current Developments Affecting Managers of Hedge Funds* (American Law Institute | American Bar Association Course Materials), Oct. 2007.

David M. Lindley & Anita K. Krug, *Emerging Issues in CFTC Regulation*, FIN. DERIVATIVES & RISK MGMT., Nov. 1995, at 93.

#### NEWSPAPERS

Anita K. Krug, *Bear Pair*, THE DEAL, Aug. 8, 2008.

Anita K. Krug, *Come Together: The Convergence of the Business Missions of PE and Hedge Funds*, 18 THE DAILY DEAL, Dec. 17, 2007, at 13.

#### BLOG POSTS

Anita K. Krug, *The Other Securities Regulator: A Case Study in Regulatory Damage*, HARV. L. SCH. FORUM ON CORP. GOVERNANCE & FIN. REG. (June 14, 2017).

Anita K. Krug, *Toward Better Mutual Fund Governance*, HARV. L. SCH. FORUM ON CORP. GOVERNANCE & FIN. REG. (June 13, 2016).

Anita K. Krug, *Regulatory Entity-Centrism in Financial Services*, REGBLOG (July 29, 2013).

#### OTHER CONTRIBUTIONS

Contributor (as an *Informationis Persona*), RONALD K.L. COLLINS & DAVID SKOVER, ON DISSENT: ITS MEANING IN AMERICA (2013).

#### SELECTED PRESENTATIONS AND PANEL DISCUSSIONS

Financial Regulation & Innovation Workshop, Commentator, Indiana University Maurer School of Law, Indianapolis, IN, Apr. 5, 2019.

Joint Conference on Law and Finance, Discussant, University of San Diego School of Law, San Diego, CA, Oct. 19, 2018.

“Institutional Investors and Corporate Governance,” Panelist (invited), Program of the Section on Business Associations, Association of American Law Schools, Annual Meeting, San Diego, Jan. 5, 2018.

“Offering, Selling, and Trading Securities: New Perspectives,” Moderator, Program of the Section on Securities Regulation, Association of American Law Schools, Annual Meeting, San Diego, Jan. 5, 2018.

“Emerging Voices in Securities Regulation—Works-in-Progress Workshop,” Moderator, Program of the Section on Securities Regulation, Association of American Law Schools, Annual Meeting, San Diego, Jan. 4, 2018.

“The Structure of the Deal,” Moderator, The Art and Science of the IP Deal, University of Washington School of Law, Apr. 7, 2017.

“Investor Democracy,” 2016 National Business Law Scholars Conference, University of Chicago Law School, Chicago, June 23, 2016.

"Investors, Consumers, and the Public Interest," Panelist, 2016 International Conference on Law and Society, New Orleans, LA, June 4, 2016 (presenting "Investor Democracy").

"Investor Democracy," Faculty Colloquium, University of Washington School of Law, Mar. 31, 2016.

"U.S. Law Governing Mergers and Acquisitions," Lecturer, U.S.-China Exchange Council (U.S. visit by executives from Chinese state-owned enterprises), University of Washington School of Law, Seattle, WA, Nov. 4, 2015.

"Toward Better Mutual Fund Governance," Midwestern Law and Economics Association, Annual Meeting, University of Kansas School of Law, Lawrence, KS, Oct. 2, 2015.

"Investing and Pretending," 2015 Harvard Stanford Yale Junior Faculty Forum, Harvard Law School, Cambridge, MA, June 17, 2015.

"Uncertain Futures in Evolving Financial Markets," 2015 National Business Law Scholars Conference, Seton Hall Law School, Newark, NJ, June 4, 2015.

"Uncertain Futures in Evolving Financial Markets," Faculty Colloquium, University of Colorado School of Law, Boulder, CO, Jan. 30, 2015.

Business Law Colloquium, Guest Presenter, University of Colorado School of Law, Boulder, CO, Jan. 29, 2015.

"M&A From the CEO's Perspective," Moderator, Pacific Rim M&A Institute, Seattle, Dec. 11, 2014.

"Investing and Pretending," Midwestern Law and Economics Association, Annual Meeting, Indiana University Maurer School of Law, Indianapolis, IN, Oct. 11, 2014.

"Investing and Pretending," Canadian Law and Economics Association, Annual Meeting, University of Toronto Faculty of Law, Toronto, Ontario, Canada, Sep. 19, 2014.

"Investing and Pretending," 2014 National Business Law Scholars Conference, Loyola Law School, Los Angeles, CA, June 20, 2014.

"Investment Fund Regulation," Moderator & Discussant, 2014 National Business Law Scholars Conference, Loyola Law School, Los Angeles, CA, June 19, 2014.

"Examining Market Actors," Panelist & Chair, 2014 International Conference on Law and Society, Minneapolis, MN, May 31, 2014.

"Demand Side Securities Regulation," 2013 Junior Scholars Forum, Brigham Young University School of Law, Provo, UT, Nov. 7-8, 2013.

"Securities Laws and Unincorporated Entities," Panelist, 2013 LLC Institute, American Bar Association, Arlington, VA, Oct. 18-19, 2013.



“Escaping Entity-Centrism in Financial Services Regulation,” 2013 Junior Scholars Workshop on Financial Services Law, University of Connecticut School of Law, Hartford, CT, June 14, 2013.

“Securities Regulation: A View From Investors’ Perspectives,” Panelist, 2013 International Conference on Law and Society, Boston, MA, June 1, 2013.

“Escaping Entity-Centrism in Financial Services Regulation,” University of Washington School of Law Faculty Colloquium Series for Ph.D. Students, Apr. 17, 2013.

“The Regulation of Financial Market Intermediaries: The Making and Un-Making of Markets,” Panelist, Joint Program of the Securities Regulation Section and the Financial Institutions and Consumer Financial Services Section, Association of American Law Schools, Annual Meeting, Washington, D.C., Jan. 4, 2013.

“Revolution in the Regulation of Financial Advice: The U.S., the U.K. and Australia,” Panelist, Fall 2012 Law Review Symposium, St. John’s Univ. School of Law, New York, Oct. 12, 2012.

“The Modern Corporation Magnified: Managerial Accountability in Financial Services Holding Companies,” Fourth Conference of the Adolf A. Berle, Jr. Center on Corporations, Law & Society, University College of London, London, England, June 13-14, 2012.

“Challenging the Assumptions and Impact of Domestic and International Securities Regulations as a Means to Achieve Corporate Governance Goals,” Panelist, 2012 International Conference on Law and Society, Honolulu, HI, June 8, 2012.

“Due Diligence and SEC Compliance, from a Business Perspective,” Moderator, Seattle Alternative Investment Association, Seattle, May 16, 2012.

“Changes to the Investment Advisers Act of 1940,” Panelist, 32nd Annual Northwest Securities Institute, Seattle, Apr. 13, 2012.

“Corporations Beyond Corporate Law: The Failure of the Corporate Governance Paradigm in U.S. Investment Company Regulation,” Faculty Colloquium, University of Washington School of Law, Mar. 1, 2012.

Seminar on the Economic Analysis of Law, Guest Presenter, University of British Columbia Faculty of Law, Vancouver, Canada, Jan. 16, 2012.

“Rubber Hits the Road: Implementing Dodd-Frank Amid Reform Fatigue,” Panelist, Program of the Section on Financial Institutions and Consumer Financial Services, Association of American Law Schools, Annual Meeting, Washington, D.C., Jan. 8, 2012.

“Dodd-Frank and Private Fund Regulation, The Dodd-Frank Wall Street Reform and Consumer Protection Act Symposium,” Panelist, Gonzaga University School of Law, Spokane, WA, Nov. 4, 2011.

“Corporations Beyond Corporate Law: The Failure of the Corporate Governance Paradigm in U.S.

Investment Company Regulation,” Canadian Law and Economics Association, Annual Meeting, University of Toronto Faculty of Law, Toronto, Ontario, Canada, Sep. 23, 2011.

“Corporations Beyond Corporate Law: The Failure of the Corporate Governance Paradigm in U.S. Investment Company Regulation,” Midwestern Law and Economics Association, Annual Meeting, Indiana University Maurer School of Law, Bloomington, IN, Sep. 16, 2011.

“Corporate Law at the Crossroads of Private and Public Law,” Symposium on Private and Public Law—Intersections in Law and Method, University of Queensland, Brisbane, Australia, July 21-22, 2011.

“Multilateral Convergence in Investment Laws and Norms,” Asian International Economic Law Network 2011 Conference, University of Hong Kong, July 15-16, 2011.

“New Corporate Governance: Competing Values,” Discussant, 2011 International Conference on Law and Society, San Francisco, June 3, 2011.

“Globalization of Law and Corporate Law,” Discussant, Symposium on Global Law and Its Exceptions: Globalization, Legal Transplants, Local Reception and Resistance, University of Washington School of Law, Feb. 25, 2011.

“Institutionalization, Investment Adviser Regulation, and the Hedge Fund Problem,” Faculty Colloquium, University of Washington School of Law, Feb. 24, 2011.

“Hedge Funds: Regulatory & Policy Overview,” Guest Lecturer, Course on the Regulation of Financial Markets and Institutions, University of California, Davis School of Law, Davis, CA, March 8, 2010.

“Preventing and Detecting Fraud,” NSCP West Coast Regional Meeting, San Francisco, Feb. 22, 2010.

“Moving Beyond the Clamor for ‘Hedge Fund Regulation’: A Reconsideration of ‘Client’ under the Investment Advisers Act of 1940,” Symposium on Financial Regulatory Reform: Genesis, Progress and Impact, Villanova University School of Law, Villanova, PA, Oct. 10, 2009.

“Moving Beyond the Clamor for ‘Hedge Fund Regulation’: A Reconsideration of ‘Client’ under the Investment Advisers Act of 1940,” Faculty Colloquium, University of California, Hastings College of the Law, San Francisco, Oct. 12, 2009.

“Hedge Funds: Regulatory Overview and Outlook,” Presentation to Summer LLM Program Participants, University of California, Berkeley School of Law, July 23, 2009.

“Critical Legal, Tax, Accounting, and Regulatory Updates,” Panelist, Bay Area Hedge Fund Roundtable, San Francisco, May 6, 2009.

“Alternative Investments: Legal Issues and Trends,” Panelist, Howard Rice Panel Discussion, San Francisco, Apr. 16, 2009.

“Financial Crisis Panel Discussion,” Panelist, University of California, Hastings College of the Law, Oct. 6, 2008.

“Hedge Funds,” Panelist, American Law Institute | American Bar Association Program on Investment Management Products, Washington, D.C., Nov. 2, 2007.

“Tax and Regulatory Issues Relating to Hedge Funds,” Panelist, Harb, Levy & Weiland Lunch Seminar, San Francisco, Mar. 11, 2007.

“Overview and Status of Regulatory Initiatives,” Infovest21 Seminar for Hedge Fund Professionals, San Francisco, Jan. 11, 2007.

#### **ROUNDTABLES**

Investment Funds Roundtable, Invited Participant, Boston University School of Law, Boston, Dec. 9, 2016.

Investment Funds Roundtable, Invited Participant, Boston University School of Law, Boston, Dec. 4, 2015.

“Shareholders, Stewardship, and Accountability,” Roundtable, 2015 International Conference on Law and Society, Seattle, May 28, 2015.

Investment Funds Roundtable, Invited Participant, Brooklyn Law School, Brooklyn, NY, Jan. 31, 2014.

Investment Funds Roundtable, Invited Participant, University of Chicago Law School, Chicago, May 17, 2013

Business Ethics Workshop, Invited Participant, Brigham Young University School of Law, Provo, UT, Feb. 20-21, 2013.

#### **CONFERENCES AND PANELS ORGANIZED**

“Offering, Selling, and Trading Securities,” Section on Securities Regulation, Association of American Law Schools, Annual Meeting, San Diego, Jan. 5, 2018.

“Emerging Voices in Securities Regulation” (Works-in-Progress Workshop for Junior Scholars), Section on Securities Regulation, Association of American Law Schools, Annual Meeting, San Diego, Jan. 4, 2018.

Various Panels on Corporate and Securities Law, International Conference on Law and Society, New Orleans, June 2-5, 2016.

Various Panels and Roundtable on Corporate and Securities Law, International Conference on Law and Society, Seattle, May 28-31, 2015.

“Managing Risk in a Complex World,” symposium on business risk management, University of Washington School of Law, Oct. 5, 2012.

#### **OTHER WORK EXPERIENCE**

Public Investment Company Governance, New York, New York.

*Independent Trustee*, iCapital KKR Private Markets Fund, a mutual fund/private equity fund hybrid fund (Sept. 2014 – present).

*Independent Trustee*, Two Roads Shared Trust, a mutual fund/ETF series trust (Aug. 2012 – present).

*Lead Independent Trustee*, Centerstone Investors Trust, a proprietary mutual fund trust (Mar. 2016 – Mar. 2021).

Howard Rice Nemerovski Canady Falk & Rabkin, P.C. (now Arnold & Porter, LLP), San Francisco, California.

*Partner (equity)*, 2007 – 2010.

*Associate*, 2002 – 2007.

Foley Hoag LLP, Boston, Massachusetts.

*Associate*, 2000 – 2002.

Hale and Dorr LLP (now Wilmer, Cutler, Pickering, Hale and Dorr LLP), Boston, Massachusetts.

*Attorney* (part-time—while writing dissertation), 1998 – 2000.

The Hon. Norman H. Stahl, U.S. Court of Appeals for the First Circuit.

*Law Clerk*, 1997 – 1998.

Cravath, Swaine & Moore LLP, New York, New York.

*Summer Associate*, 1996.

Winthrop, Stimson, Putnam & Roberts (now Pillsbury Winthrop), New York, New York.

*Summer Associate*, 1995.

Zagreb Human Rights Group, Zagreb, Croatia.

*Summer Intern*, 1994.

Pestalozzi, Gmuer & Patry, Zurich, Switzerland.

*Summer Intern*, 1994.

All India Democratic Women’s Association, New Delhi, India.

*Summer Intern*, 1993.

#### **OTHER SERVICE ACTIVITIES**

Co-Founder and Officer, Pacific Rim M&A Institute (Feb. 2014 – 2019).

Reviewer, Routledge Books (2016 – present).

Fellowships and Grants Selection Panel, American Association of University Women (2017).

Member, Washington State Bar Association Corporate Act Revision Committee (May 2012 – May 2015).

Member, Washington State Bar Association Securities Committee (Jan. 2011 – 2019).

Member, Advisory Council of the Department of Economics, Kansas State University (2013 – 2014).

#### **BAR ADMISSIONS**

New York (retired), 1998.

Massachusetts (retired), 2001.

California (inactive), 2002.